

Please type a plus sign (+) inside this box → [+]

01-16-01

PTO/SB/05 (08-0)

Approved for use through 10/31/2002 OMB 0651-003

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

#### **APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>	
2. <input type="checkbox"/> Applicant claims small entity status <i>(see 37 CFR §1.27)</i>	
3. <input checked="" type="checkbox"/> Specification      Total Pages [19] <i>(preferred arrangement set forth below)</i>	
<ul style="list-style-type: none"> <li>- Descriptive Title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	
4. <input type="checkbox"/> Drawing(s) (35 USC §113)    Total Sheets <input type="checkbox"/>	
5. Oath or Declaration                  Total pages <input type="checkbox"/>	
<ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) <i>(for continuation/divisional) with Box 17 complete</i></li> </ul>	
<ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTORS</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1.33(b).</li> </ul>	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR §1.76	
7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
<ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>	
<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations	
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
16. <input type="checkbox"/> Other: ..... .....	

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No. /

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

[ ] Customer Number or Bar Code Label		Insert Customer No. or Attach bar code label here)		or [ X ] Correspondence address below	
<b>NAME</b>	Richard S Echler The Procter & Gamble Company				
<b>ADDRESS</b>	Miami Valley Laboratories				
	P. O. Box 538707				
<b>CITY</b>	Cincinnati	<b>STATE</b>	Ohio	<b>ZIP CODE</b>	45253-8707
<b>COUNTRY</b>	USA	<b>TELEPHONE</b>	513-627-0079	<b>FAX</b>	513-627-0079

Name (Print/Type)	Richard S. Echler	Registration No. (Agent)	41,006
Signature		Date	1/12/2001



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 1328.00)

		Complete if Known	
Application Number		To be determined	
Filing Date		01/12/2001	
First Named Inventor		Hamerky, et al	
Examiner Name		To be determined	
Group/Art Unit		To be determined	
<b>TOTAL AMOUNT OF PAYMENT</b> (\$ 1328.00)		Attorney Docket No..	7914M

**METHOD OF PAYMENT (check one)****FEES CALCULATION (continued)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
Deposit Account Number **16-2480**  
Deposit Account Name **The Procter & Gamble Company**  
[X] Charge Any Additional Fee [ ] Applicant claims small entity status. See 37 CFR §127  
Required Under 37 C.F.R. §§1.16 and 1.17

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

**FEES CALCULATION****1. BASIC FILING FEE**

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 710	201 355	Utility filing fee	[710.00]
106 320	206 160	Design filing fee	[ ]
107 490	207 245	Plant filing fee	[ ]
108 710	208 355	Reissue filing fee	[ ]
114 150	214 75	Provisional filing fee	[ ]
<b>SUBTOTAL (1)</b>			(\$710.00)

**2. EXTRA CLAIM FEES**

Fee From			Extra Claims Below Fee Paid
Total Claims			[41] - 20** = [21] x [18] = [378]
Independent Claims			[6] - 3** = [3] x [80] = [240]
Multiple Dependent			[ ] = [0.00]

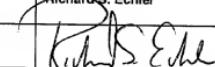
\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee	Small Entity Fee	Fee Description	
Code (\$)	Code (\$)		
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			(\$618.00)

**3. ADDITIONAL FEES**

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	[ ]
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	[ ]
139 130	139 130	Non-English specification	[ ]
147 2,520	147 2,520	For filing a request for ex parte reexamination	[ ]
112 920*	112 920*	Requesting publication of SIR prior to Examiner's action	[ ]
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner's action	[ ]
115 110	215 55	Extension for reply within 1 <sup>st</sup> month	[ ]
116 390	216 195	Extension for reply within 2 <sup>nd</sup> month	[ ]
117 890	217 445	Extension for reply within 3 <sup>rd</sup> month	[ ]
118 1,390	218 695	Extension for reply within 4 <sup>th</sup> month	[ ]
128 1,890	228 945	Extension for reply within 5 <sup>th</sup> month	[ ]
119 310	219 155	Notice of Appeal	[ ]
120 310	220 155	Filing a brief in support of an appeal	[ ]
121 270	221 135	Request for oral hearing	[ ]
138 1,510	138 1,510	Petition to institute a public use proceeding	[ ]
140 110	240 55	Petition to revive - unavoidable	[ ]
141 1,240	241 620	Petition to revive - unintentional	[ ]
142 1,240	242 620	Utility issue fee (or reissue)	[ ]
143 440	243 220	Design issue fee	[ ]
144 600	244 300	Plant issue fee	[ ]
122 130	122 130	Petitions to the Commissioner	[ ]
123 50	123 50	Petitions related to provisional applications	[ ]
126 240	126 240	Submission of IDS	[ ]
581 40	581 40	Recording each patient assignment per property (time number of properties)	[ ]
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	[ ]
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	[ ]
179 710	279 355	Request for Continued Examination (RCE)	[ ]
169 710	249 355	Request for expedited examination of a design application	[ ]
Other fee (specify) _____			[ ]
Other fee (specify) _____			[ ]

\* Reduced by Basic Filing Fee Paid      **SUBTOTAL(3)** (\$ ) [ ]**SUBMITTED BY**

Name (Print/Type)	Richard S. Echler	Registration No. (Agent)	41,006	Complete (if applicable)
Signature				Telephone (513) 627-0079
				Date 12 JAN 01

WARNING: Information on this form may be subject to public release under the Freedom of Information Act. Credit Card information should not be included.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.